

# NAVAL POSTGRADUATE SCHOOL Monterey, California



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# **THESIS**

AIR TASKING ORDER (ATO)
OPTIMIZATION MODEL

by

Matthew H. Dolan

September 1993

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This thesis addresses a known deficiency of theater level wargames. The problem is the ability to produce a timely, "flyable" Air Tasking Order (ATO) that effectively uses assigned aircraft. Wargame pace and the lack of an effective planning tool prevented strike planning from being effectively implemented in the ATO.

The model presented in this thesis offers a solution in the form of a computer based optimization model that produces ATO's. The model assigns strikes against all requested targets if there are sufficient assets, and it chooses which targets not to strike if assets are insufficient. The model decides which strike packages should be assigned against each target and which available launch sites should provide the assets required in the selected strike packages. The output is an ATO in which all assigned aircraft can reach their targets and are in fact available for tasking. This model solves in minutes on a personal computer and allows the pace of the wargame to be unconstrained by ATO production.

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# AIR TASKING ORDER (ATO) OPTIMIZATION MODEL

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Submitted in partial fulfillment of the requirements for the degree of

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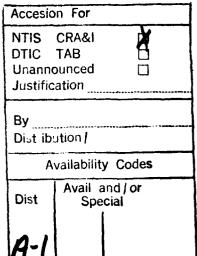
# **ABSTRACT**

This thesis addresses a known deficiency of theater level wargames. The problem is the ability to produce a timely, "flyable" Air Tasking Order (ATO) that effectively uses assigned aircraft. During wargames conducted at the Naval War College, I observed that sound military analysis went into strike planning. However, the pace of the wargame and the lack of an effective planning tool prevented this strike planning from being effectively implemented in the ATO.

The model presented in this thesis offers a solution in the form of a computer based optimization model that produces ATO's. The model assigns strikes against all requested targets if there are sufficient assets, and it chooses which targets not to strike if assets are insufficient. The model decides which strike packages should be assigned against each target and which available launch sites should provide the assets required in the selected strike packages. The output is an ATO in which all assigned aircraft can reach their targets and are in fact available for tasking. This model solves in minutes on a personal computer and allows the pace of the wargame to be unconstrained by

ATO production.

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# THESIS DISCLAIMER

The reader is cautioned that computer programs developed in this research may not have been exercised for all cases of interest. While effort has been made, within the time available, to ensure that the programs are free of computational and logic errors, they cannot be considered validated. Any application of these programs without additional verification is at the risk of the user.

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#### EXECUTIVE SUMMARY

This thesis offers an ATO optimization program for use with the Enhanced Naval Wargame System (ENWGS) at the Naval War College. The program ensures that the best available aircraft are assigned to each target. Candidate target strike packages are designed during the pre-play phase of the game. The optimization model chooses the optimal strike packages based on availability of assets, distance to target, fuel availability, and JFACC preference. If there are insufficient assets available to strike all targets, the model ensures that the targets deemed most important are selected for strikes.

The optimization model benefits the JFACC cell by increasing the speed of ATO production and ensuring the output is a consistent, flyable tasking order. Given a target list, one person can produce an ATO for a given target list in a matter of minutes, vice hours using current manual methods. Currently, sound military analysis is used prior to game commencement. However, the game pace leads to aircraft assignments made in a hasty, manual fashion, with suboptimal results likely.

Presently, the pace of the wargame is constrained by ATO production. Using the ATO optimization model presented here

will allow the war game to progress at a much faster pace and will increase the training benefits of the wargame.

The model uses the following data. Each base or ship that can be used as a launch site has a list of aircraft available for tasking. Each target and required support mission is mapped to a "target type" and each target type is given a set of potential strike packages comprised of appropriate sets of aircraft. The strike packages are ranked by preference.

The model's purpose is to answer three sets of detailed questions: (a) Which strike package should be assigned against each target? and (b) Which available site should provide the assets required in each selected strike package? (c) If there are insufficient assets, which targets should be left unstruck? Aircraft are assigned to targets based on availability, distance and preference. The model also ensures that all aircraft that require in-flight refueling have sufficient airborne gas available. If more fuel is needed, the model assigns more tanker aircraft to the tanker tracks, if available.

The model attempts to strike all targets and fly all support missions with the best available aircraft. Flying distances are minimized. There are several model constraints.

To make the ATO flyable, only aircraft deemed available by the subordinate commanders are used and aircraft are only tasked for missions and targets they can reach.

Another set of constraints enforced by the model is the single-sourcing requirement. This ensures that all aircraft of the same model that are assigned to the same target are launched from the same site. This constraint mirrors the real world considerations of crew briefing and flight leadership.

The model will assign aircraft for all requested targets and missions, if sufficient assets are available. If not, the model will recommend which targets or missions to omit based on availabilities and the user's priority.

Output of the optimization model is designed in the format of the ATO currently used in wargames at the Naval War College.

This model improves the training of all wargame participants. The ATO is produced with a flyable plan that improves the productivity of all warfare cells. This product requires fewer people to produce and can keep pace with any wargame pace, improving training for all hands.

The model and data management system are implemented with off-the-shelf software. The model is written in GAMS and the data may be stored in any database or spreadsheet.

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The Naval War College Wargaming Department, especially CDR Bo Filanowicz, has been instrumental in this thesis. CDR Filanowicz provided the opportunity for me to visit the War College and define the problem. His knowledge of Operations Research and wargaming expertise ensured that the focus of my thesis is applicable to the War College.

#### I. INTRODUCTION

#### A. PROJECT BACKGROUND

This master's thesis is written in response to a wargaming requirement of the Naval War College. It addresses a known deficiency of theater level wargames. As in combat, wargames use an Air Tasking Order (ATO) to assign aircraft to missions. Wargames have personnel assigned from various commands with varied expertise. The ATO they produce is understandably slow in preparation and often unflyable due to poor tasking of assets. This thesis offers a solution in the form of a computer based optimization model for producing ATOs. The model's ATO makes better use of assets and takes much less time to produce.

During large Enhanced Naval War Game System is used to assign the (ENWGS) [Ref. 1] events, the ATO air assets of the various warfare commanders to target strikes and support missions. Presently, there is duplication of effort and a poor use of available resources during ATO production. Aircraft are sometimes poorly assigned. Many are over-tasked while others remain unused. Aircraft based in multiple locations are not always assigned from the best launch site. This is far from optimal. Often, the limiting factor in the speed of the game is promulgation of an ATO.

The effect of a slow, inefficient ATO is reduced training for all participants in the wargame. This thesis offers a solution that uses available assets better and produces the ATO more rapidly. The model improves the flow of the wargame. Training will be improved significantly for all participants.

In the capacity of a Naval Postgraduate School masters of science student, I observed ATO production during an ENWGS based wargame called SEACON 92, conducted at the Naval War College in November 1992. The Joint Force Air Component Commander (JFACC) cell, which is responsible for ATO production, had approximately 20 people assigned. There were nearly 300 total players in the two-week long wargame. SEACON 92 game required an ATO for managing the air assets of several aircraft carriers, a Marine Amphibious Group, an Allied Air Group and numerous Air Force assets. These assets were distributed across many bases in several operating areas. Strikes were planned against multiple targets in diverse locations. The preferred pace of the wargame is an eight-toone ratio of game time to real time. Unfortunately, slow ATO production prevented the game from accelerating to this pace. Moreover, the JFACC cell was frequently inefficient in the way it used the available aircraft assets of the different cells. Many assets went unused while others were tasked beyond their capabilities, ranges and availability. The huge amount of man hours with the accompanying poor ATO is the motivation for the production of this ATO model.

The ATO process is described in detail in the following sections. A basic explanation is that the ATO is an air plan of all the events required in strike planning. The assets used are those deemed available by the warfare commanders. The sites targeted are chosen by the Joint Targeting Board (JTB) in response to the Battle Force Commanders' intentions.

# B. THE AIR TASKING ORDER

#### 1. Purpose

JCS pub 3-56.23 describes the sequential procedures to be used for coordinating air efforts in a joint environment. After detailed planning is completed by the joint staff, the subordinate commanders advise the JFACC of the planned allocation of organic air assets required to conduct self defense missions, training and maintenance. In addition, any expected excess sorties are identified and transmitted to the JFACC via the excess sortie message. The JFACC then allots these excess sorties to the different subordinate commanders via a sortie allotment message and a common Air Tasking Order.

The JFACC receives a list of sorties available from the subordinate commanders. The JTB submits a list of targets to be attacked. The ATO produced by the JFACC must assign these targets to the available sorties. There ATO also contains support missions such as tanker missions, reconnaissance flights, Airborne Early Warning (AEW), and patrol flights, which assist the strike missions.

Each subordinate commander publishes an air plan. This plan includes the ATO scheduled flights and the flights the commander must fly to protect his base or ship and maintain readiness. These defensive events are not directly involved with attacking targets or strike support and are omitted from the data requirements of the ATO optimization model.

# 2. Terminology

The following terms and acronyms are specific to strike planning and ATO production.

- Airplan : the flight schedule
- Air Tasking Order (ATO): the document tasking all events involved in strike planning
- Battle Damage Assessment (BDA): estimate of damage to previously struck targets
- Combat Air Patrol (CAP): missions flown by fighter aircraft to act as air interceptors
- Identification Friend or Foe (IFF): the discrete radio codes used to identify friendly aircraft
- Joint Force Air Component Commander (JFACC): the organization responsible for promulgation and execution of the ATO
- Joint Intelligence Center (JIC): contained within the JFACC, it provides intelligence information to the JTB and JFACC
- Joint Targeting Board (JTB): the organization within the JFACC cell responsible for choosing the strike targets
- Sortie: A mission accomplished by a single aircraft
- Airborne Early Warning (AEW) : Aircraft used to detect hostile air

• Time On Top (TOT): The time air assets are scheduled to be at a target

# 3. Actual ATO Implementation

During actual strike operations, such as Operation Desert Storm, the ATO is the master plan for all strike events. The JFACC organization is a large group commanded by an Air Force General whose deputy is a Navy Rear Admiral. Each subordinate commander has a representative who serves as liaison to the JFACC. The JTB within JFACC, presents a list of targets to be struck during a twenty-four hour period. This list is based on the Battle Force Commander's desires and information provided by the Joint Intelligence Command (JIC).

Initially the ATO is drafted two to three days prior to implementation. On the day prior to publication, a final draft is published which includes the Battle Damage Assessment (BDA) of previous attacks and the assets provided by the subordinate commanders via their respective excess sortie messages. A separate team within the JFACC monitors the progress of the ATO on the day it is executed. Any strikes that are unsuccessful for any reason are considered for the following day's ATO. The group monitoring ATO execution may redesignate targets for a strike or cancel events as the conflict warrants.

#### 4. Actual Conflict ATO

During real world hostilities, the ATO is an extremely complex document. For each strike mission, the wartime ATO

contains information on the target, the composition of aircraft, and the launch site for each type of aircraft, just as in a game. But the wartime ATO also designates the discrete codes the aircraft must set for identification (IFF), the Return-to-Force procedures that prevent friendly fire on aircraft egressing from target areas, and the controlling agency responsible for strike coordination, and the safety of flight information.

Wargame ATO's are not as detailed as in the real world. Weapons load out decisions are left to the different warfare cells. The wargame ATO designates targets and required support missions. The ATO assigns aircraft to the missions and a time line for the events. The personnel limitations of wargames, both in number and skill level, and the speed of wargames preclude much greater detail.

#### C. WARGAMES

#### 1. Focus

Wargames try, as much as possible, to provide participants a sense of actual conflict. Games are an excellent forum for practicing tactics and strategies. The focus of wargames is to demonstrate and test the level of planning, coordination, and flexibility required during large scale conflicts. Some games are used to demonstrate the complexity of potential real world scenarios, while others enable commanders to evaluate the performance of their staffs.

Wargames are valuable in identifying problems and finding solutions.

The amount of time available and the military competence of the players dictate the level of detail of the game. The focus, regardless of the detail, is to provide participants a sense of the logistical enormity of planning and executing large conflicts.

# 2. Scope

Wargame size and scenario are normally constrained by the facilities and time allotted for the game. The model presented in this thesis is most applicable to large theater level games. These games normally have approximately twenty cells. Each cell represents a subordinate warfare commander and has between ten and twenty people assigned. The cell manning is based on the perceived tasking of that cell in the scenario. At the Naval War College a staff member is assigned to each group to serve as wargame facilitator and subject matter expert.

Participants do not deal with small scale warfare considerations. For example, games do not require players to actually control an airplane during its entire flight. The focus is the utilization of available assets given a particular scenario. Players must deal with the dynamics of losing assets and decide on a plan of attack that is flexible enough to deal with the activities of the enemy forces.

#### D. GOAL

# 1. JFACC Operations

The goal of this thesis is to improve the performance of players assigned to the JFACC cell and to enhance their training. The model performs many of the tasks of the real world JFACC organization. Currently, the enormity of the task is overwhelming. The speed and scale of the conflict does not lend itself to training. With proper planning and tools, the focus of the players is target designation and strike scheduling vice the drudgery of ATO manual promulgation.

The JFACC cell takes the desired targets from the JTB and creates a strike time line. The linear optimization model presented in this thesis produces an optimal mix of available assets to accomplish the task. Implementation of the ATO is also monitored from the JFACC, allowing successive ATOs to cover events that are missed. Often events lead to a change of targets or new missions. The JFACC cell needs to control these changes and adjust future plans accordingly.

In order for the linear optimization model to be effective, the JFACC cell members must plan during the preplay phase. Each task, whether a target or support mission, should be put into a target-mission group. This will be based on target type (e.g., runway). Suitable strike packages are designed using the available types of aircraft. The JFACC

cell is organized during this time. Some members are assigned to current day operations while others plan future ATOs.

#### 2. ATO Model

The optimization model is used to produce the ATO. It chooses the best aircraft to perform the planned strikes. Distance to targets, availability of assets, and preferences are all incorporated in the model. Current databases which hold all the relevant information are used as inputs to the model. Inputs include JTB's target list, the excess sorties available and the predetermined strike packages. The goal is to provide the best strike packages for those targets deemed important by the JTB. This model, combined with effective prior planning, will lead to more efficient ATO planning.

The model is a mixed integer linear optimization model. Ιt is written in GAMS[Ref. 2]. dBASE[Ref. 3] is used to store and format the data used by the GAMS model, though other database or spreadsheet software can be easily substituted as the interface to GAMS. The model achieves optimality by striking as many targets as possible as efficiently as possible. A series of constraints ensures that assets are used to the best of their ability. Chapter II deals with the formulation of the optimization model.

#### E. APPROACH

The optimization model is designed to be as generic as possible. Different wargames can have drastically different assets in use. The optimization model and data bases are independent. The model is designed to work with any feasible data set that contains the assets of that particular game. works as long as the data has been entered for each asset. The model will look at the assets available and strike the targets with the best total use of assets. The packages assigned are based on the packages the players enter during the pre-play phase. The model balances the preference of the JFACC cell for each package with the distances the aircraft must travel to each target. The model ensures all aircraft of the same type assigned to the same mission are from the same launch site. This last constraint, called single sourcing, mirrors the real world considerations of crews briefing together and ensuring flight lead integrity.

Fuel is accounted for in this model as follows. It is assumed that all aircraft launch with a full bag. If the assigned task requires the aircraft to exceed the range that was entered as a parameter during pre-play, the model will ensure that enough tankers are available. Each aircraft has a maximum number of fuelings allowed. The distance penalty is more severe for each refueling, which discourages refueling if possible.

#### F. LIMITATIONS

There are some limitations in the optimization model. When the model checks the amount of in-flight fuel available it ignores the geographic position of the airborne tankers. This makes the gas availability constraint somewhat optimistic.

This model makes no attempt to forecast mission success. BDA still is performed outside the model. Factors such as aircraft attrition and weapon success are not figured automatically. The databases used must be updated to reflect this information.

#### II. FORMULATION

# A. APPROACH

The purpose of the optimization model is to find the best match of available assets to designated targets and missions. Strike packages of different aircraft types that are capable of performing a support mission or successfully striking a target are identified in pre-play. These missions are flown by the best aircraft available within the constraints discussed in this chapter. If all targets can not be struck, the ATO model selects the targets in accordance with the target preferences of the user.

Optimization is ideal for this problem. It tries to get the best aircraft to the targets while ensuring all of the constraints are not exceeded. The objective function ensures the best deployment of aircraft for the ATO as a whole, not just for an individual mission or target.

#### B. INDICES

We use the following indices to formulate the optimization model:

- a assets
- i sites
- j targets
- m mission types
- n strike packages

A small example of the values over which these indices may range is:

a ∈ {A6, F18, B52, TLAM}
i ∈ (AIRBASE-A, CV72, DDG51}
j ∈ {PALACE BUNKER, ADEN AIRFIELD, SOUTH NAVY PIER}
m ∈ {AIRFIELD, TOMAHAWK, TANKER}
n ∈ {PACKAGE 1, PACKAGE 2, PACKAGE 3}

where j represents the actual target and m represents the target type or mission type. The n index represents the acceptable packages comprised of aircraft capable of flying the mission or conducting the strike. The model is versatile enough to accommodate much larger instances of the problem than the example given above.

#### C. DECISION VARIABLES

The primary decision variables of the optimization model are binary variables. Together they decide which strike package is used against each target and which site provides the assets required in the selected strike package. The first set of binary variables is:

 $X_{jn} = 1$  if strike package n is assigned to target j.

0 if not

The second set of binary variables is:

 $Y_{aij} = 1$  site *i* is authorized to provide assets a to target *j*.

0 if not

There are two other variables in the model. The first is a continuous integer variable:

 $Z_{aij}$  = The quantity of asset a assigned from launch site i to target j.

Variables Y and Z are closely related in that both are used to provide assets to targets from a launch site. They are not redundant, however. Both are needed because of the single-sourcing constraints.

There is also an elastic variable for target nonassisgment. It allows constraint violation at a cost that is entered as an input parameter. The elastic variables are:

 $E_j = 1$  if target j is not assigned a strike in the ATO,

0 otherwise.

The cost of the elastic variables is prohibitively high, so that the non-strike option is invoked only when it is physically impossible to strike all targets with the available resources.

#### D. OBJECTIVE FUNCTION

The objective function is designed to maximize a weighted sum of the selected targets struck and the missions filled, less certain penalties. The model assigns the most efficient assets to the tasks by comparing the commanders' preferences with the capabilities of the aircraft:

$$\texttt{MAXIMIZE} \sum_{jn} \texttt{EPREF}_{jn} \cdot \texttt{X}_{jn} - \sum_{j} \texttt{TPREF}_{j} \cdot \texttt{EPEN}_{j} \cdot \texttt{E}_{j} - \sum_{\texttt{aij}} \texttt{DPEN}_{\texttt{aij}} \cdot \texttt{Y}_{\texttt{aij}}$$

where:
EPREF<sub>in</sub> = The preference value of the strike package

TPREF; = The target preference

EPEN, = Elastic penalty for not striking a target

DPENaij = Penalty value for distance an asset must fly to

reach a target

The EPREF $_{jn}$  is a parameter chosen by the user prior to ATO production. It rates the relative desirability of the different candidate strike packages available for a mission or particular target type. The DPEN $_{aij}$  is a penalty that is computed using the range to the target and the combat radius of the particular aircraft. The TPREF $_{j}$  is a parameter that ensures the highest priority targets and missions are filled.

#### E. CONSTRAINTS

# 1. Target Strike Constraints

The first set of constraints ensures that each target is struck if possible, or a penalty is assigned. The strike constraints are:

$$\sum_{n} X_{jn} + E_j = 1, \quad \forall j$$

Since  $X_{jn}$  is a binary variable, this constraint ensures that either one package is assigned in its entirety or the penalty is assessed.

#### 2. Demand Constraints

The second set of constraints ensures that the demand for assets at each target is met. The demand constraints are:

$$\sum_{i} Z_{aij} = \sum_{n} QTY_{ajn} \cdot X_{jn}, \quad \forall aj$$

where the input parameter  $QTY_{ajn}$  is defined as  $QTY_{ajn}$  = The quantity of asset a in strike package n proposed for target j.

The left side of the equation represents the quantity of the asset allocated from a launch site to a target. The right side computes the demand for the asset as a function of the chosen strike package.

# 3. Single-Sourcing Constraints

The single-sourcing constraints ensure that all aircraft of the same kind that are assigned to the same target come from the same launch site. This prevents, for example, two carriers each providing two of the A6's required in an attack, which would violate flight leadership and common site briefing considerations. If different types of aircraft are required for a strike, they may come from different sources. An exception to the single-sourcing rule is made for tanker aircraft that perform in-flight refueling. The single sourcing constraints are:

$$\sum_{i} Y_{aij} \le 1, \quad \forall a \neq tanker, \ \forall j$$

# 4. Supply Constraints

The optimization model ensures that aircraft are not tasked beyond their availability. These constraints are:

$$\sum_{j} Z_{aij} \leq AVAIL_{ia}, \quad \forall ia$$

where  $AVAIL_{ia} = Quantity of assets of type a available at launch site i.$ 

The input parameter  $AVAIL_{ia}$  is provided to the JFACC cell from the subordinate warfare commanders via the excess sortie message. The GAMS formulation of the model checks this constraint only when the site is the home base for assets that are potentially used on a target. The distance from the site to the target must be within the range of the assets.

# 5. Logical Constraints

The logical constraints, also known as variable upper bounds, are needed to ensure that the  $Z_{\text{ij}}$  variables governing asset allocation (via the demand constraint) are logically connected to the  $Y_{\text{aij}}$  variables which govern single-sourcing (via the single-sourcing constraints). These constraints are written

$$Z_{aij} \leq AVAIL_{ia} \cdot Y_{aij}, \forall aij$$

and they guarantee that the Y's and Z's are nonzero for the same values of a,i,j. The logical constraints are generated and checked only for the a,i,j combinations corresponding to physically feasible taskings.

#### 6. Fuel Constraints

Aircraft will often be tasked to fly missions that require in-flight refueling. In-flight refueling is common for military tactical aircraft. Our optimization model will ensure that enough gas is provided by the available tankers for all aircraft that need refueling to complete their missions. If enough gas cannot be provided, the model assigns aircraft within range or tasks additional tankers. Each aircraft has a parameter that defines the amount of fuel per tank the aircraft uses and a parameter detailing the number of times it can be fueled. The second parameter is only invoked during variable reduction and is discussed later. The Gas constraint is written:

$$\sum_{a \neq tanker, ij} Z_{aij} \cdot FILL_a \cdot floor (DIST_{ij} + RANGE_a) \leq \sum_{a = tanker, ij} Z_{aij} \cdot GIVE$$

where:

FILL<sub>a</sub> = The amount of fuel the aircraft uses during midair refueling

 ${\rm DIST_{ij}}$  = The distance from the asset launch site to the target or mission area.

 $RANGE_a = The designed combat radius of the aircraft.$ 

GIVE, = The total fuel a tanker aircraft has to give.

floor = This function returns the greatest integer less
than the operand.

The parameters FILL, RANGE, and GIVE are all entered by the game players prior to game commencement. The DIST value is

computed using a modification of the GAMS library routine for great circle calculation (Appendix A).

This constraint sums all the aircraft that exceed their designed radius during a mission. The amount of fuel required is then summed and compared to the total amount airborne. If there is not enough fuel in the air and there are tankers available, more tankers are added to the flight schedule.

#### F. PENALTIES

# 1. Elastic Penalty

There are two penalties in this model. The first is the elastic penalty for not striking a target  $(EPEN_j)$ . This penalty should be set high enough so that it is used only when asset limitations make it unavoidable. The objective function charges the EPEN for each target not attacked or mission not flown. It is multiplied by the relative importance of the corresponding targets. The model mixes assets as necessary to ensure the most complete coverage.

# 2. Distance Penalty

Each aircraft used in the model is given a combat radius that is entered as a parameter (RANGE<sub>a</sub>). The distance penalty increases as the length of the mission approaches the range of the aircraft. Initially the penalty increases at a gradual rate (m1). When a combat radius is exceeded the aircraft must refuel from an airborne tanker. This in-flight refueling causes a jump in the distance penalty. After

refueling there is a higher rate of penalty increase in order to account for crew fatigue and possible aircraft problems as distance increases. The distance penalty is computed by the following formula:

$$DPEN_{aij} = m1 \cdot (DIST_{ij} + RANGE_a) if DIST_{ij} \leq RANGE_a$$

$$DPEN_{aij} = 1 + m2 \cdot ((DIST_{ij} - RANGE_a) + RANGE_a) if RANGE_a \leq DIST_{ij}$$

where:

= slope of DPEN when refueling not required

 $DIST_{ij}$  = Distance from site i to target j RANGE<sub>a</sub> = Range of asset a

= slope of DPEN after refueling. m2

#### G. VARIABLE REDUCTION

The GAMS modeling language allows the model to be generated and solved efficiently. The GAMS dollar operator function ensures that variables and constraints are considered only for valid site-aircraft-target combinations. This allows the model to solve large problems much more quickly, than would be possible otherwise. For example, the variables Yaii and  $Z_{aij}$  exist only for those a,i,j combinations that obey all of the following conditions:

- Asset a is available at site i.
- Target j can be reached by asset a from site i within the allowable number of refuelings.

• There exists at least one candidate strike package for target j that employs asset a.

The input parameter, MAXFILLS, is invoked only during variable reduction. MAXFILLS, represents the maximum number of fuelings an aircraft is allowed. It ensures that aircraft are only considered for targets that can be reached with inflight refueling. The variable reduction reduces the number of variables and constraints considerably.

# III. DATA REQUIREMENTS

The optimization model presented in this thesis is designed to work in any wargame. The model is generic, allowing it to be used with any sets of assets and targets. This chapter addresses the data that is required for targets and aircraft. JFACC cell members must make some generalizations and assumptions. The data is meant to be dynamic throughout the game in order to reflect changes in capabilities and requirements.

The information required is stored in four databases and is used to construct the GAMS data file. Much of the database information is constructed during pre-play. The four databases are: Target Information, Site Information, Asset Information, and Strike Package data. The following sections discuss the data required for the model.

#### A. TARGET DATA

The majority of the target information is determined during the pre-play phase of the wargame. During SEACON 92, a database of all potential orange (enemy) targets was presented to the JFACC cell prior to game commencement. This information must be organized to allow the ATO to rapidly respond to the targets chosen by the JTB.

# 1. Target Identifier

Each target will be given a name and a target identifier. The identifier will normally reflect the area where the target is located. Examples would be all targets in the ADEN theater are designated A-001 to A-050 sequentially from East to West. This identifier is used in the formulation of the optimization model as the index j. The identifiers can be different from one run of the model to the next. The structure of the identifier is left to the JFACC cell. Any form that organizes targets conveniently is acceptable.

The ATO also tasks many missions not associated with targets. These mission stations tasked by the ATO are planned, if possible, during pre-play. Combat Air Patrol (CAP) stations and Tanker tracks, for example, are chosen and given an identifier. All mission areas of the same type should be given similar names for ease of interpretation of the output.

# 2. Target Position

The position of each target is required for the great circle distance calculation. The calculations accept the latitude and longitude in degrees and minutes. Mission stations, such as CAP stations and tanker tracks, should use the center point of the area.

# 3. Target and Mission Type

The most important information required for each target and mission is the target type. The target type is used to categorize each target into a usable group. While each target is unique and may require a unique strike package, similar targets are grouped together as a target type. The first step is to create a list of target types. As each target from the database is examined during pre-play, it is grouped with other targets having similar attributes. Collectively these targets are a target type. If a target clearly does not fit any target type, it is acceptable to create a unique target type.

Examples of target types are Airfields, Hardened Bunkers, and Piers. All airfields in the target database may be given the target type airfield. There is great diversity among airfields in the data base, they may be better classified in several groups such as Large Airfield, Medium Airfield and Small Airfield, for example. The target type should try to capture as much information as possible.

The grouping of targets into target types should be based on the user's judgement concerning appropriate strike packages. Targets that seem different may be grouped together. For example, bridges and roads are different but are likely to have the same candidate strike packages. Therefore it is a good idea to group them into one target type, which might be called bridge/road.

If a target has special attributes such as CAP defense or SAM sites that are co-located, these defensive attributes are incorporated into the target type. For example, a target type may be expanded to AirfieldCAP.

Missions that are not targets, but require tasking by the ATO, are also given target types. Tanker tracks and CAP stations are examples. These can be subdivided if necessary. A CAP station that requires two aircraft as opposed to a station that requires four, are different target types.

### 4. Target Preference

The optimization model attempts to strike all targets and fill all missions. However, there may be situations when there are not enough assets in range to do all the JTB tasking. In this situation the target preference is used. The target preference separates each target into preference groups. A mission which must be flown if at all possible is given a high value. Missions not as critical are given lower values. Lower values are used for missions only to be flown if the assets are available.

These values are used in the objective function. The function is optimized if penalty values are minimized. Therefore the model fills all higher valued tasking before flying flights with lesser values.

If all flights are critical they can all be given high preference values. However, insufficient assets may preclude

all targets being struck and the user would have no say in the process if all targets have the same priority. Distance and availability would then decide which targets to hit.

#### B. SITE DATA

The information required by the model for each site is relatively simple. Each site, *i* in the formulation, represents an airfield or ship where friendly aircraft are located.

### 1. Site Position

The latitude and longitude for each site is required to calculate the distance to each target. These distances are used to compute the distance penalty for each asset and thereby encourage efficient selection of launch sites.

# 2. Asset Availability

The game players representing the subordinate air commanders in the game have requirements outside the ATO, such as aircraft maintenance, check flights and self-defense. Each commander determines the excess sorties available for tasking and enters them in the model as  $AVAIL_{ia}$ .

### C. ASSET INFORMATION

Prior to game commencement, an air order of battle is distributed. Information for each of the aircraft types is required for the optimization model. All of this information should be entered during the pre-play phase of the wargame. This information will probably not require modification during

the play of the game. This data is entered in the ASSET database.

## 1. Range

Each aircraft available in the game must be assigned a range. The range represents the aircraft's combat radius. This distance is used as the RANGE parameter. It is the unrefueled range of the aircraft. After each in-flight refueling the aircraft has its complete range available again. An aircraft that can perform different missions may have different combat radii. If an aircraft has distinct missions with different characteristics, it can be modeled as more than one aircraft type. An F-18 in fighter role may have a different range from an attack F-18, for example, so they should be entered separately in the model.

## 2. Allowable Refuelings

In each wargame many of the aircraft have the ability to refuel in-flight. Larger land based aircraft may not have this capability. Aircraft that can refuel are given a maximum number of refuelings. This mirrors the aircraft and crew limitations as flight time increases. Aircraft that cannot refuel in-flight are given the value one. A judgement is made as to how many tanks of gas each aircraft can receive in one flight. This value is MAXFILLS<sub>a</sub>.

### 3. Fuel Availability

The amount of fuel that tanker aircraft have to distribute must be entered as the GIVE, parameter.

#### D. STRIKE PACKAGES

Earlier in this chapter target types were presented. Each target type is assigned candidate strike packages. Each of these strike packages is comprised of several aircraft, which, as a group can successfully attack the target or complete the mission. During the pre-play phase, the JFACC cell determines strike packages that are best suited for each target type. For support missions aircraft that can perform the mission are assigned. There may be only one combination of assets that can fill a mission. Special missions such as AWACS is an example of this.

Normally there are several strikes packages of aircraft that can successfully complete a mission. These candidate packages must be ranked for preference. For ease of use the JFACC cell should limit the number of candidate packages to a manageable size, probably three, four or five. They are ranked with the best package getting the highest value. If packages are equal they can be given the same value. Distance to target would then determines which package flies. A sample

# strike package follows:

Target Type: Airfield

Package number: 1

**Assets:** 4 F/A-18

1 EA-6

Preference: 3

The packages can be a mix of diverse aircraft. The model tries to optimize the ATO by assigning the highest preferred packages if those assets are available and within reasonable ranges. Sensitivity analysis on the effect of distance to preference on package selection is presented in Chapter IV.

### E. DATABASE

dBASE IV was tested as the data formatting software. Any commercial database or spreadsheet software can format the data and interface with the optimization model.

### IV. RESULTS

The goal of the optimization model contained in this thesis is to produce a better ATO in a shorter time period. This chapter addresses the model results and output in comparison with a manual ATO, using test data based on the SEACON92 wargame held at the Naval War College in November 1992.

### A. MODEL OUTPUT

An example of the ATO model output is provided in Appendix D. The output gives the information from the ATO model necessary for ENWGS use. Results of sample ATOs produced by this model are discussed in the following sections. Results are considered in terms of time and optimality of the ATO produced.

### 1. Time

The principal benefit of this model is the speed with which the JFACC cell can produce ATOs. Much of the data is entered during the pre-play phase of the wargame. Data entry during the ATO production is minimal. The only information required at that time is the target, target type, target preference, target position, and sortic availability. The greatest personnel effort of ATO production is data entry. For a scenario with fifty targets and ten launch sites, data

entry typically takes less than a half hour for one individual. Solve times are discussed in the next section.

### a. Solver Comparison

Two solvers were used with the GAMS software during testing. The model requires an integer solution. Two available integer solvers that work with GAMS on PC's are ZOOM[Ref. 4] and XA[Ref. 5]. Both solve small ATO problems quickly. The XA solver proved superior. Solve times in XA are less than three minutes. There are some large scenarios that ZOOM is unable to solve. A comparison of the two integer solvers is listed in TABLE I.

TABLE I. SOLVE TIMES IN MINUTES AND SECONDS ON A 486/33

NUMBER OF TARGETS	XA	ZOOM
20	0:10	0:09
40	1:17	3:42
60	1:52	9:16
80	2:21	did not solve
100	2:42	did not solve

The output from the two solvers when they both obtain solutions are nearly identical. All comparative tests were run with relative optimality tolerance (OPTCR) of .25.

### b. Optimality Tolerance (OPTCR)

GAMS allows the programmer to set a parameter that determines how close the solution is guaranteed to be to the optimal solution. An OPTCR value of .10 allows the program to stop when the objective function value is guaranteed within

10% of optimal. (The solver may actually achieve an optimal solution with OPTCR = .10, but there is no guarantee.) If the ATO model tries for the absolute optimal solution (OPTCR = 0.0), solve times exceed one hour, which may make the model impractical. Conversely, a higher setting (OPTCR = 0.35) solves quickly but the mix of aircraft assigned changes significant y. A fifty target data set was tested to find an ideal OPTCR setting for the ATO model. TABLE II shows the results.

TABLE II

OPTCR Setting	Solve Time	Sorties Changed
0.0	> 1 Hour	
0.1	22 minutes	2
0.20	8 minutes	2
0.25	3 minutes	4
0.30	2 minutes	8

The ideally optimal solution (OPTCR = 0.0) is impractical due to the longer solve times. The .25 setting, with a solve time of 3 minutes and only four sorties out of fifty having different aircraft assigned, is the recommended setting.

## 2. Penalty Sensitivity Analysis

### a. Distance Penalty

There are several pre-programmed parameters that can effect the outcome of the model. They effect the balance of the distance penalty to strike package preference. The objective function is designed so that a package that requires

refueling loses, in effect, one preference rating. For example, a package with preference three that must refuel is equal to a package that has preference two but is within the combat radius of the aircraft.

There are two values that are used to compute the distance penalty as discussed in Chapter II. The first (m1) is the value that is used when the distance is less than the range of the aircraft. The second (m2) is used when the distance exceeds the range of the aircraft.

The m1 value is multiplied by the ratio of the distance to the range of the aircraft. The m2 value is higher to reflect the decreased effectiveness on combat aircraft as their flight time increases. If the m1 and m2 values are low the package with the higher preference is always chosen regardless of the distance the aircraft must travel. Conversely, high distance penalties lead to all strikes being flown by the closest available package vice more preferred packages. The ideal situation is a balance where a package that requires aircraft to exceed their range is equivalent to a strike package that is within the range of its aircraft but whose preference value is one less. During testing of the model the following values balanced distance and strike package preference:

m1 = .6m2 = 1.0 These values were tested with aircraft tasked to targets at their maximum designed range. When used with the distance penalty equations, they traded distance for strike package preference effectively. For example a S3 patrol plane assigned to a patrol box was given preference value 3. When the tasked patrol station exceeded the combat radius of the S3 aircraft, a British Nimrod aircraft, which was closer, with preference value 3 was assigned.

# b. Elastic Penalty

There is an elastic penalty EPEN, which is assigned to any target that is not struck or mission not flown. The model is designed to strike all targets if the assets are available. This penalty must take a value that is greater than the combined distance penalties assigned for aircraft striking a target, so that the model is never encouraged to leave a target unstruck. During testing the maximum combined distance penalties accrued by one target was 6. The EPEN is given a higher value to ensure that there is never a situation where not striking is better. This value is multiplied by the target preference (TPREF, to ensure higher priority targets are struck if assets are limited by availability or range.

#### V. CONCLUSIONS

### A. MODEL USE

This model was presented to the Naval War College Wargaming Department on 27 August, 1993. As discussed earlier in this thesis, the model is integral to the JFACC process. It will be implemented upon purchase of the GAMS software.

The Naval War College intends to tie the ATO optimization model into commercial database software. There are several off-the-shelf database products being considered. Integration of the GAMS data files with a data base is an easy process.

The War College Wargaming Department has discussed further potential uses of the ATO model. Force-planning modelling can be done by analyzing the Navy's ability to participate in scenarios where carrier based aircraft must fly long distances. The model is designed for use with wargames, but the optimization principles also apply to real world ATO production. This model would be an asset in the JFACC process.

The War College is attempting to conduct joint wargames with Army and Air Force wargaming centers. This model produces an ATO that incorporates the assets of the different services, therefore assisting the Navy in participating in joint exercises.

#### B. REFINEMENTS

There are further refinements that can be made in the future if the War College deems necessary. Presently, time is considered in block segments. The model can be altered to look at time continuously. Data for asset availability is not currently collected this way. If, in the future, this is required the GAMS formulation can be adjusted.

The model output is presented in Appendix D. This ATO format is designed for ease of use with ENWGS wargames. The outputted ATO can be adjusted for different scenarios.

#### C. FURTHER RESEARCH PROJECTS

The optimization model is the "engine" that produces the optimal ATO. There are several potential projects that can be pursued to further this model. The first is a Battle Damage Assessment model. Using an object oriented simulation language a prediction of strike packages against targets could be produced. This information could then be used to update the target data base. This model would greatly assist the JFACC cell in future planning and further speed wargame planning.

Another potential project is a weapons versus target approach. This is beyond the scope of wargames but within the realm of optimization. It would use the information contained in the MISM's to optimize the weapons load out for each package. Weapons availability at each site would the become

part of the data structure. This level of detail approaches that required in actual conflict.

### D. RECOMMENDATIONS AND CONCLUSIONS

This model is designed to enhance the ATO production of the JFACC cell during wargames. Upon implementation this model will save manhours in ATO production and increase training for all wargame participants. If the data is maintained in a useable form, the ATO process will be efficient and easy to execute. Wargame pace should no longer be constrained by the JFACC cell.

### APPENDIX A. GAMS FORMULATION

\$TITLE Naval Postgraduate School AIR TASKING ORDER OPTIMIZATION MODEL (ver. 93/08/01) \$offupper offsymxref offsymlist offuellist inlinecom { } \$ontext

By: LT. Matthew H. Dolan, USN
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Date: 13 April 93

Α

assets sites

Description: This model is offered as part of a masters degree thesis. It is intended for use at the Naval War College. This model creates an Air Tasking Order (ATO) for use with ENWGS wargames. Linear Integer optimization is used to create an ATO by matching available assets w i t h targets or missions. Strike packages are created by game players prior to game commencement. The model Databases are stored in DBASE IV files.

```
$offtext
options
                     0
   limrow
   limcol
                     0
   solprint
                   off
             =
   mip
             =
                    xa
   rmip
                    xa
                       {optimality tolerance: values closer to
   optcr
                0.25
                         zero may give better solutions but
                         will take longer}
   iterlim
             = 5000000
   reslim
                150000
                          {maximum solve time in seconds}
   integer2
                    122
SETS
```

38

```
J
      targets
  N
       strike packages
       mission types
  M
  TYPE target type
   K
        coordinates
SINCLUDE ATO.DAT
PARAMETERS
            quantity of asset a in strike package n on
QTY(a,j,n)
            target j
EPREF(j,n)
            effectiveness-based preference of strike package
            checks if asset is potentially used against
USED(a,j)
            target
            Elastic penalty for not striking target j
EPEN(j)
DPEN(a,i,j) Penalty for travel distance
JMAP(j,m)
            Mapping parameter
TPREF(j)
            Target Preference of target j
XSCALE
            Objective function scale factor for strike
            Objective function scale factor for elastic
ESCALE
            penalties
            Objective function scale factor for strike
DSCALE
            distance
$INCLUDE ATO.DIS
OTY(a,j,n) = SUM(m \ TYPE(j,m), STRIKEDATA(m,n,a,"QUANTITY"))
EPREF(j,n) = SUM(m \ \ TYPE(j,m), SMAX(a,
               STRIKEDATA(m,n,a, "PREFERENCE")));
USED(a,j) = SUM(m \ TYPE(j,m), SUM(n)
               STRIKEDATA (m, n, a, "QUANTITY")) );
* Objective function tuning parameters.
EPEN(j)
          = 100;
                                          and(DIST(i,j)
                                                          LE
DPEN(a,i,j)$(AVAIL(i,a)
                        and USED(a,j)
                   RANGE(a)))
           = m1*((DIST(i,j)/RANGE(a)));
                         and USED(a,j) and(DIST(i,j)
                                                          GE
DPEN(a,i,j)$(AVAIL(i,a)
                   RANGE(a)))
           = 1 + m2*((DIST(i,j)-RANGE(a))/RANGE(a));
           = 1;
XSCALE
           = 1;
ESCALE
DSCALE
              1 :
BINARY VARIABLES
   X(j,n)
              Strike package assigned to target
   Y(a,i,j) Site authorized to provide asset to target
```

```
POSITIVE VARIABLES
   E(j)
             Elastic variable for not striking target
             Quantity of asset allocated from site to target
   Z(a,i,j)
FREE VARIABLE
             Objective variable
   OBJ
   ;
EQUATIONS
                Strike each target with exactly one package
   STRIKE(j)
   DEMAND(a,j)
               Meet demand for assets at each target
               Use single site as source of each asset for
   SINGLE(a,j)
                each target
   SUPPLY(a,i) Observe asset availability at sites
  LOGICAL(a,i,j) Variable upper bound relating Y and Z
   GAS
                Ensures enough gas is available
  OBJDEF
STRIKE(j)..
     SUM( n $ EPREF(j,n), X(j,n)) + E(j) =E= 1;
DEMAND(a,j) $ USED(a,j) ...
     SUM( i $ (AVAIL(i,a) and (Dist(i,j) LE
              MAXFILLS(a) * RANGE(a))), Z(a,i,j))
     SUM(n,QTY(a,j,n) * X(j,n));
{A single launch site is used for all aircraft types.
only exception is tankers. Tankers may come from any launch
source }
SINGLE(a,j) $( USED(a,j) and (GIVE(a) eq 0)) ...
     SUM( i $ (AVAIL(i,a) and (Dist(i,j) LE
                   MAXFILLS(a) *RANGE(a))), Y(a,i,j))
           =L=
                 1;
SUPPLY(a,i) $ AVAIL(i,a) ...
                       (USED(a,j)
     SUM (
                                     and
                                           (Dist(i,j)
                                                          LE
             j
                  $
                   MAXFILLS(a) *RANGE(a))), Z(a,i,j))
           =L=
           AVAIL(i,a)
LOGICAL(a,i,j) $ (AVAIL(i,a) AND USED(a,j) and
                    (Dist(i,j) LE MAXFILLS(a) *RANGE(a)) ) ...
           Z(a,i,j)
           =L=
           AVAIL(i,a) * Y(a,i,j) ;
GAS ..
```

```
SUM((a,i,j) \$((DIST(i,j) GE RANGE(a)))
                     and (DIST(i,j) LE MAXFILLS(a) *RANGE(a))
                     and AVAIL(i,a)
                     and USED(a,j)),
            Z(a,i,j)*(FILL(a)*FLOOR(DIST(i,j)/RANGE(a)))
            =L=
       SUM((a,i,j) $(GIVE(a) and used(a,j) and AVAIL(i,a)),
            Z(a,i,j)*GIVE(a));
  OBJDEF..
        XSCALE * SUM( (j,n), EPREF(j,n) * X(j,n))
      - ESCALE * SUM( j, TPREF(j) *EPEN(j) * E(j) )
- DSCALE * SUM( (a,i,j) $ (AVAIL (i,a) AND USED(a,j)
        and (Dist(i,j) LE MAXFILLS(a) *RANGE(a)) ),
       DPEN(a,i,j) * Y(a,i,j) )
      =E=
      OBJ ;
MODEL ATO /ALL/;
SOLVE ATO USING MIP MAXIMIZING OBJ;
FILE RES /ATO.OUT/;
PUT RES;
    put system.title /
    put "Date/time generated: " system.date,"
system.time //
    put ">>>>>> AIR TASKING ORDER
                                             <<<<<<<" /
    put ""/
    put "TARGET
                                AIRCRAFT
                   LAUNCH
                                              TIME ON TOP"/
    put "
                     SITE
    put "
    put ""/
LOOP((j,a,i) \ \ Z(a,i,j),
    put j.TL:11, i.TL:11, z.L(a,i,j):3:0, a.TL:11,
TIME(j):6:0/;
    put ""/;
            );
if ( sum(j,e(j)) gt 0.01,
    put " THE FOLLOWING TARGETS WERE NOT ASSIGNED"/
    put " "/
LOOP(j $ E(j),
    put j.TL:11/;
    put ""/;
          );
else
```

```
put " ALL TARGETS WERE ASSIGNED" / );
DISPLAY DIST
DISPLAY DPEN
DISPLAY X.L, Z.L;
parameter atorep(j,a,i,*) ATO Summary Report;
atorep(j,a,i,"Sorties") = z.L(a,i,j);
atorep(j,a,i,"Distance") = dist(i,j) $ z.L(a,i,j);
atorep(j,a,i,"Timeontop") = time(j) $ z.L(a,i,j);
OPTION ATOREP:0:3:1;
display atorep;
```

### APPENDIX B. SAMPLE DATA SET

```
sets
         assets
  Α
             A6, F18, F14, S3B, EA6, E2C, Thawkc, P3C { Navy }
             B52, E3A, KC10, J8, BNMROD
                                                    {SAC}
             F117, F111, EF111, F15
                                           {TAC}
             KC130, AV8B, EA6M, F18M
                                             {USMC}
          sites
             AirBase-01 * AirBase-05, MAG1, MAG2, Carrier-A,
           Carrier-B, Cruiser-A, Cruiser-B/
   J
          targets
            Targ-001 * Targ-020, AWACS1, TEXACOA, TEXACOB,
           TEXACOC, CAPA, CAPB, CAPC, CAPD, CAPS, JTRACK,
           GRND1, GRND2, PAT1, PAT2/
  M
          mission type (target type)
             AIRFLDLG
                            Large Airfield
             AIRFLDSM
                            Small Airfield
             AIRFLDN
                            Airfield at Night
                            Bridge or Road
             BRGROD
             BRGRODN
                            Bridge or Road at Night
             BLDG
                            Building
             BLDGN
                            Building at Night
                            Hardened Bunker
             HBUNK
             TLAM
                            Tomahawk Target
             TNKRA
                            Airforce Tanker
             INKRM
                            Marine Corps Tanker Station
             CAP2
                            2 Plane CAP Station
             CAP4
                            4 Plane CAP Station
             AEW
                            AWACS Station
             RECCE
                            Recconasance
                            Maritime Patrol
             PATROL
             SUCAP
                            Surface CAP
             JSTARS
                            J-8 Patrol
             AGRND
                            Air-to-Ground Support
  TYPE (J, M)
          Targ-001.AIRFLDLG
          Targ-002.BLDGN
          Targ-003.BRGROD
          Targ-004.AIRFLDN
          Targ-005.TLAM
          Targ-006.AIRFLDSM
          Targ-007.BLDG
          Targ-008.BRGROD
```

```
Targ-009.BRGRODN
Targ-010.RECCE
Targ-011.RECCE
Targ-012.TLAM
Targ-013.BRGRODN
Targ-014.AIRFLDN
Targ-015.AIRFLDSM
Targ-016.TLAM
Targ-017.HBUNK
Targ-018.BRGROD
Targ-019.HBUNK
Targ-020.AIRFLDLG
AWACS1.AEW
TEXACOA. TNKRA
TEXACOB. TNKRM
TEXACOC. TNKRM
JTRACK.JSTARS
CAPA.CAP2
CAPB.CAP2
CAPC.CAP4
CAPD.CAP4
CAPS.SUCAP
GRND1.AGRND
GRND2.AGRND
PAT1.PATROL
PAT2.PATROL
strike packages
   Package-1 * Package-3 /
```

## TABLE STRIKEDATA(m,n,a,\*)

N

		QUANTITY	PREFERENCE
AIRFLDLG . Package-1	<b>A</b> 6	6	3
AIRFLDLG . Package-1	F14	2	
AIRFLDLG . Package-1	EA6	1	
AIRFLDLG . Package-2	B52	1	5
AIRFLDLG . Package-3	F117	2	1
AIRFLDLG . Package-3	EF111	1	
AIRFLDSM . Package-1	<b>A</b> 6	6	5
AIRFLDSM . Package-1	F14	2	
AIRFLDSM . Package-1	EA6	1	
AIRFLDSM . Package-2	F111	2	5
AIRFLDSM . Package-2	EF111	1	•
AIRFLDSM . Package-3	F117	2	1
AIRFLDN . Package-1	F117	2	5
AIRFLDN . Package-2	<b>A</b> 6	4	3
AIRFLDN . Package-2	F14	6	

RECCE	. Package-1 . F14	2	5
RECCE	. Package-2 . F15	2	5
BLDG	. Package-1 . F111	2	5
BLDG	. Package-1 . EF111	1	
BLDG	. Package-2 . AV8B	4	4
BLDG	. Package-2 . EA6	1	
BLDGN	. PACKAGE-1 . F117	2	5
JSTARS	. PACKAGE-1 . J8	ī	5
BRGROD	. Package-1 . F18	6	5
BRGROD	. Package-1 . F14	2	
BRGROD	. Package-1 . EA6	ī	
BRGROD	. Package-2 . F111	2	3
BRGROD	. Package-3 . F117	4	
BRGRODN			5
BRGRODN		2 2	2 5 3
BRGRODN	_	1	,
BRGRODN		4	3
BRGRODN	. PACKAGE-3 . EA6	1	3
HBUNK	. Package-1 . A6	4	4
HBUNK	. Package-1 . EA6	1	*
HBUNK	. Package-1 . F14	2	
HBUNK		2	4
HBUNK	. Package-2 . F117	6	2
	. Package-3 . THAWKC		
TLAM	. Package-1 . THAWKC	2	3
TLAM	. Package-2 . THAWKC	6 2	5
SUCAP	. package-1 . A6	2	5
SUCAP	. Package-2 . S3B		4
SUCAP	. Package-3 . F15	6	3
AEW	. Package-1 . E3A	1	5 2
AEW	. Package-2 . E2C	1	
AEW	. Package-3 . E3A	2	1
TNKRA	. Package-1 . KC10	2	5
TNKRA	. Package-2 . KC10	3	4
TNKRA	. Package-3 . KC10	4	3
TNKRM	. Package-1 . KC130	1	5
TNKRM	. Package-2 . KC130	1 2 3	4
TNKRM	. Package-3 . KC130		3
CAP2	. Package-1 . F15	2	5
CAP2	. Package-2 . F14	2 2	4
CAP2	. Package-3 . F18		3
CAP4	. Package-1 . F14	4	5
CAP4	. Package-2 . F15	4	5
AGRND	. Package-1 . AV8B	4	5
AGRND	. Package-1 . EA6M	1	
AGRND	. Package-2 . F18M	4	3
AGRND	. Package-2 . EA6M	1	
PATROL	. Package-1 . P3C	1	3
PATROL	. Package-2 . BNMROD	1	2

PARAMETER /	TIME(J)	Time	on	TOP			
TARG-001	27080	0					
TARG-002	27080						
TARG-003	27083						
TARG-004	27085						
TARG-005	27090						
TARG-006	27090						
TARG-007	27093						
TARG-008	27093						
TARG-009	27100						
TARG-010	27103						
TARG-011	27110						
TARG-012	27113						
TARG-013	27123						
TARG-014	27130						
TARG-015	27130						
TARG-016	27150						
TARG-017	27153						
TARG-018	27160						
TARG-019	27160						
TARG-020	27160	0					
AWACS1	27080	0					
TEXACOA	27080	0					
TEXACOB	27083	0					
TEXACOC	27083	0					
CAPA	27080	0					
CAPB	27090	0					
CAPC	27093						
CAPD	27100						
CAPS	27090						
JTRACK	27080						
GRND1	27083						
GRND2	27090						
PAT1	27080						
PAT2	27080	0					
/							
i							
TABLE LOC(	i *)						
TABLE LOCK	LAT-DE	С Т	AT-l	MTRT	I ONG DEG		
AIRBASE-01	20		05	ALTIN	LONG-DEG		4
AIRBASE-02	24		02		58 57	15	
AIRBASE-03	2 <del>5</del> 25		00		46	50	
AIRBASE-04	23		00		46	15	
AIRBASE-05	20		00		45	00	
MAG1	26		30		55	00 00	
MAG2	27		30		55 55	00	
CARRIER-A	20		00		65	00	
Carrier-B	24		00		55	00	
· – - <del>-</del> –	<b></b>				23	00	

Cruiser-A Cruiser-B	20 20	30 30	60 60	00 15
TABLE COORD	)(j,*)			
	LAT-DEG			
TARG-001	27	15	56	30
TARG-002	32	00	57	25
TARG-003	36	15	52	20
TARG-004	32	15	56	00
TARG-005	32	00	57	00
TARG-006	33	15	58	00
TARG-007	32	30	56	15
TARG-008	34	15	55	15
TARG-009	33	15	58	00
TARG-010	32	30	56	15 15
TARG-011	34	15	55	15
TARG-012	32	15	58	00
TARG-013	33	15	55 57	00
TARG-014	34	10	57 56	00 00
TARG-015	35	10		00
TARG-016	32	15 30	54 53	00
TARG-017	33 33	22	55 55	30
TARG-018	33 32	22 29	54	28
TARG-019 TARG-020	34	16	52	25 25
AWACS1	24	00	55	15
TEXACOA	23	30	55	00
TEXACOR	23	00	52	00
TEXACOC	22	33	55	00
CAPA	24	30	55	00
CAPB	24	00	53	00
CAPC	25	00	54	00
CAPD	26	00	55	00
CAPS	28	00	53	00
JTRACK	23	00	54	00
GRND1	28	00	56	00
GRND2	27	00	57	00
PAT1	26	00	54	30
PAT2	23	00	53	00
;	_ <del>-</del>			
•				
SCALARS				
m1 short	range slope	<b>)</b>	/.6/	
m2 long	range slope		/1.0/	
;				
			_	
PARAMETER	TPREF(j)	Target P	reference	Group
/				
TARG-001	2			
TALG-002	3			

```
TARG-003
TARG-004
TARG-005
                  1
TARG-006
                  2
                  2
TARG-007
                  3
TARG-008
TARG-009
                  2
TARG-010
                  3
TARG-011
                  2
TARG-012
                  1
TARG-013
                  1
TARG-014
                  3
TARG-015
                  3
TARG-016
                  3
TARG-017
                  2
TARG-018
                  3
TARG-019
                  2
TARG-020
                  2
                  3
AWACS1
TEXACOA
                  3
TEXACOB
                  3
TEXACOC
                  2
CAPA
                  3
CAPB
                  2
CAPC
                  2
CAPD
                  2
CAPS
                  3
JTRACK
                  3
GRND1
                  3
GRND2
                  2
PAT1
                  3
PAT2
```

```
PARAMETER AVAIL(i,a) Asset availability at launch sites
AirBase-01 . F111
                        18
AirBase-01 . EF111
                        8
AirBase-01 . KC10
AirBase-02 . B52
AirBase-02 . E3A
                        3
AirBase-03 . F117
                        24
AirBase-03 . F15
                        24
AirBASE-04 . KC10
                       6
AirBase-05 . E3A
AirBase-05 . J8
AirBase-05 . P3C
```

```
AirBase-05 . BNMROD
                         3
                         12
Carrier-A . A6
Carrier-A . EA6
                         6
                         12
Carrier-A . F14
Carrier-A . F18
                        12
                        2
Carrier-A . E2C
                         4
Carrier-A . S3B
                        16
Carrier-B . A6
Carrier-B . EA6
                         3
          . F14
                         12
Carrier-B
                         12
Carrier-B . F18
                        2
Carrier-B . E2C
                         2
Carrier-B . S3B
Cruiser-A . THAWKC
                         20
                         8
Cruiser-B . THAWKC
           . AV8B
                         12
MAG1
           . KC130
                        2
MAG1
MAG1
           . EA6M
                         4
          . F18M
                         24
MAG2
MAG2
          . EA6M
                         4
PARAMETER RANGE (a)
                        900
A6
                        600
F18
                        600
F18M
                        800
S<sub>3</sub>B
                        500
AV8B
                       1500
KC130
                       800
F14
                       900
EA6
                       900
EA6M
                       2000
B52
                       600
F117
                       1000
F111
                       1000
EF111
                       900
F15
                        900
E3A
                       500
E2C
                       1000
KC10
THAWKC
                       900
                       1000
J8
P3C
                       1200
BNMROD
                       1200
/
 ;
```

```
PARAMETER FILL(a) How much gas used during midair
refueling
A6
                            4
F18
                            3
F18M
                            3
                            6
S<sub>3</sub>B
F14
EA6
                            3
EA6M
                            3
                            3
F117
AV8B
                            2
                            8
F111
EF111
                            8
F15
                            4
E3A
                           10
PARAMETER GIVE (a)
                       Gas to give
KC10
                          100
KC130
                         100
PARAMETER MAXFILLS(a) Maximum aircraft refuelings allowed
A6
                            3
F18
                            3
                            2
S3B
F18M
                            3
F14
                            3
EA6
                            3
EA6M
                            2
KC130
                            1
                            3
AV8B
B52
                            1
F117
                            2
                            2
F111
EF111
                            2
F15
                            3
E3A
                            1
E2C
                            1
KC10
                            1
THAWKC
                            1
J8
                            1
P3C
                            1
BNMROD
                            1
;
```

### APPENDIX C. GREAT CIRCLE DISTANCE CALCULATION

```
SETS
K
             coordinates
             X-AXIS, Y-AXIS, Z-AXIS/
SCALARS
       trigonometric constant
                                      /3.141592653/
PΙ
                                       /3959/
       radius of earth
R
PARAMETERS
                Latitude of Launch Site
   LAT(I)
                Longitude of Launch Site
   LONG(I)
                Latitude of Target
   LATT(J)
                Longitude of Target
   LONGT (J)
               Site Point in Cartesian Coordinate
   UK(I,K)
   UKT(J,K) Target Point in Cartesian Coordinate
USEG(I,J) Straight line distance between points
UDIS(I,J) Great Circle Distance in Unit Spheres
   DIST(I,J) Great Circle distance in Miles;
 LAT(i) = (LOC(i, "LAT-DEG") + LOC(i, "LAT-MIN") / 60) *PI/180;
 LONG(i) = (LOC(i, "LONG-DEG") + LOC(i, "LONG-MIN") /
60) *PI/180;
 LATT(j) = (COORD(j, "LAT-DEG") + COORD(j, "LAT-MIN") /
60) *PI/180;
 LONGT(j) = (COORD(j, "LONG-DEG") + COORD(j, "LONG-MIN") /
60) *PI/180;
 UK(i, "X-AXIS") = COS(LONG(i))*COS(LAT(i));
 UK(i,"Y-AXIS") = SIN(LONG(i))*COS(LAT(i));
 UK(i,"Z-AXIS") =
                                  SIN(LAT(i));
 UKT(j, "X-AXIS") = COS(LONGT(j))*COS(LATT(j));
 UKT(j, "Y-AXIS") = SIN(LONGT(j))*COS(LATT(j));
 UKT(j,"Z-AXIS") =
                                   SIN(LATT(j));
 USEG(i,j) = SQRT(SUM(K, SQR(UK(i,K)-UKT(j,K))));
 UDIS(i,j) = PI;
 UDIS(i,j)$(USEG(i,j) LT 1.99999) = 2 * ARCTAN(USEG(i,j)/2
/SQRT(1-SQR(USEG(i,j)/2)));
 DIST(i,j) = R*UDIS(i,j);
```

## APPENDIX D. SAMPLE OUTPUT

Naval Postgraduate School AIR TASKING ORDER OPTIMIZATION MODEL (ver. 93/08/01)

Date/time generated: 93/08/27 11:01:53 >>>>>>> AIR TASKING ORDER <<<<<<< TARGET LAUNCH AIRCRAFT TIME ON TOP

	SITE		
TARG-001	AIRBASE-02	1B52	270800
TARG-002	AIRBASE-03	2F117	270800
TARG-003	AIRBASE-01	2F111	270830
TARG-004	AIRBASE-03	2F117	270850
TARG-005	CRUISER-A	6THAWKC	270900
TARG-006	AIRBASE-03	2F117	270900
TARG-007	AIRBASE-01	2F111	270930
TARG-007	AIRBASE-01	1EF111	270930
TARG-008	AIRBASE-01	2F111	270930
TARG-009	AIRBASE-03	2F117	271000
TARG-010	CARRIER-B	2F14	271030
TARG-011	CARRIER-B	2F14	271100
TARG-012	CRUISER-A	6THAWKC	271130
TARG-013	AIRBASE-03	2F117	271230
TARG-014	AIRBASE-03	2F117	271300
TARG-015	AIRBASE-03	2F117	271300
TARG-016	CRUISER-A	6THAWKC	271500
TARG-017	CARRIER-B	4A6	271530
TARG-017	CARRIER-A	2F14	271530
TARG-017	CARRIER-A	1EA6	271530
TARG-018	AIRBASE-01	2F111	271600
TARG-019	AIRBASE-03	2F117	271600
TARG-020	AIRBASE-03	2F117	271600
TARG-020	AIRBASE-01	1EF111	271600
AWACS1	AIRBASE-05	1E3A	270800
TEXACOA	AIRBASE-01	2KC10	270800
TEXACOB	MAG1	1KC130	270830
TEXACOC	MAG1	1KC130	270830
CAPA	AIRBASE-03	2F15	270800
CAPB	AIRBASE-03	2F15	270900
CAPC	CARRIER-B	4F14	270930
CAPD	CARRIER-B	4F14	271000
CAPS	CARRIER-B	2A6	270900
JTRACK	AIRBASE-05	1J8	270800
GRND1	MAG1	4AV8B	270830
GRND1	MAG1	1EA6M	270830
GRND2	MAG1	4AV8B	270900
GRND2	MAG2	1EA6M	270900
PAT1	AIRBASE-05	1P3C	270800

PAT2 AIRBASE-05 1P3C 270800 ALL TARGETS WERE ASSIGNED

### LIST OF REFERENCES

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